

§ 293.101

5 CFR Ch. I (1–1–07 Edition)

293.311 Availability of information.

Subpart D—Employee Performance File System Records

- 293.401 Applicability of regulations.
- 293.402 Establishment of separate employee performance record system.
- 293.403 Contents of employee performance files.
- 293.404 Retention schedule.
- 293.405 Disposition of records.
- 293.406 Disclosure of records.

Subpart E—Employee Medical File System Records

- 293.501 Applicability of regulations.
- 293.502 Definitions.
- 293.503 Implementing instructions.
- 293.504 Composition of, and access to, the Employee Medical File System.
- 293.505 Establishment and protection of Employee Medical Folder.
- 293.506 Ownership of the Employee Medical Folder.
- 293.507 Maintenance and content of the Employee Medical Folder.
- 293.508 Type of folder to be used.
- 293.509 Use of existing Employee Medical Folders upon transfer or reemployment.
- 293.510 Disposition of Employee Medical Folders.
- 293.511 Retention schedule.

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Subpart A—Basic Policies on Maintenance of Personnel Records

§ 293.101 Purpose and scope.

(a) This subpart sets forth basic policies governing the creation, development, maintenance, processing, use, dissemination, and safeguarding of personnel records which the Office of Personnel Management requires agencies to maintain in the personnel management or personnel policy setting process.

(b) Agencies in the Executive Branch of the Federal Government are subject to specific Office of Personnel Management recordkeeping requirements to varying degrees, pursuant to statute, Office regulation, or formal agreements between the Office and agencies.

This subpart applies to any department or independent establishment in the Executive Branch of the Federal Government, including a government corporation or Government controlled corporation, except those specifically excluded from Office recordkeeping requirements by statute, Office regulation, or formal agreement between the Office and that agency.

§ 293.102 Definitions.

In this part:

Agency means any executive department, military department, Government corporation, Government controlled corporation, or other establishment in the Executive Branch of the Government (including the Executive Office of the President), or any independent regulatory agency;

Data subject means the individual about whom the Office or agency is maintaining information in a system of records;

Individual means a citizen of the United States or an alien lawfully admitted for permanent residence;

Information means papers, records, photographs, magnetic storage media, micro storage media, and other documentary materials regardless of physical form or characteristics, containing data about an individual and required by the Office in pursuance of law or in connection with the discharge of official business, as defined by statute, regulation, or administrative procedure;

Maintain includes collect, use, or disseminate;

Office means the Office of Personnel Management;

Personnel record means any record concerning an individual which is maintained or used in the personnel management or personnel policysetting process. (For purposes of this part, this term is not limited just to those personnel records in a system of records and subject to the Privacy Act);

Record means any item, collection, or grouping of information about an individual that is maintained by an agency, including, but not limited to, his or her education, financial transactions, medical history, criminal history, or employment history;